Colloquium (Part II) on “Innovations in Financial Law and Systems”:

A tribute to Professor Joseph J. Norton

May 5, 2017, SMU Dedman School of Law, Dallas, Texas

The SMU Dedman School of Law (and its International Graduate Program and its Tsai Center for Law, Science and Innovation), in conjunction with the Centre for Commercial Law Studies, Queen Mary, University of London and the Asian Institute of International Financial Law, University of Hong Kong are proud to hold Part II of a conference addressing the evolution and changing environment for both transactional and regulatory aspects of financial law and honoring Professor Joseph J. Norton. The Part I conference was held in London on May 5-6, 2016, at which fifty former graduate students and colleagues of Prof. Norton attended.

This Colloquium (Part II) (invitation only) will bring together approximately 40 former students and colleagues of Professor Norton, drawn from at least 14 different countries/jurisdictions. Numerous of the attendees will present summaries of their most recent research. Topics will include: Brexit and its implications for financial regulation, opportunities and challenges of technological innovation (‘FinTech’ and ‘RegTech’) for financial services, and the outlook for international financial regulation under the new U.S. administration.

Venue: Karcher Auditorium, SMU Dedman School of Law, 3315 Daniel Avenue, Dallas, Texas 75275.

Organizing Committee: Prof. Marc I. Steinberg (SMU), Prof. Douglas Arner (AIIFL/HKU), Prof. Rodrigo Olivares-Carminal (CCLS/QMUL), Prof. Ross Buckley (UNSW), Prof. Rosa M. Lastra (CCLS/QMUL), Prof. Emilios Avgouleas (Edinburgh), Dr. Heba Shams (World Bank), Prof. Mads Andenas (Oslo) and Dr. Seema Sharma (SMU), with the administrative assistance of Rebekah Bell, Lynn Dempsey and Sharon Magill-Tabbert.

Schedule:

Thursday Evening, May 4 (7:00 pm-9:30pm): Informal Tex-Mex Dinner, hosted by Prof. Marc Steinberg (SMU) at his home.

Friday, May 5

8:30 am-9:15 am Registration, coffee/juice/muffins

9:15 am-9:30 am Opening remarks: Prof. Marc I. Steinberg (SMU)

9:30 am-10:45 am Panel I: Financial regulation and financial crises
Three 15 minute summary paper presentations with discussion

Chair: Prof. Rodrigo Olivares-Carmina (CCLS / QMUL)

Prof. Ross P. Buckley (UNSW), Prof. Emilios Avgouleas (University of Edinburgh) and Prof. Douglas W. Arner (AIIFL / HKU): Lessons from two decades of financial crises

Prof. Christos Hadjiemmanuil (University of Piraeus / London School of Economics): Current trans-Atlantic regulatory debates and the risk that these may cause the Basel regime to unravel

Prof. Jens Binder (Tubingen University): Financial market infrastructure and systemic relevance

10:45 am-11:15 am Break

11:15 am-12:30 pm Panel II: Technology and the future of finance and its regulation

Three 15 minute summary paper presentations with discussion

Chair: Prof. Peter Winship (SMU)

Prof. George Walker (CCLS / QMUL): FinTech

Prof. Douglas W. Arner and Prof. Ross P. Buckley (UNSW Australia): FinTech, RegTech and the challenge of smart regulation

Dr. Christopher P. Malcolm (University of the West Indies): De-Risking to a Fault: After Oblivion then what?

12:30 pm-2:00 pm Texas BBQ Buffet for guests at Karcher Auditorium

2:00 pm-3:15 pm Panel III: The Changing Nature of Money

Three 15 minute summary presentations with discussion

Chair: Prof. Cynthia Lichtenstein (Boston College)

Dr. Gerald N. Olsen (SMU): Morality, Banking and the Law of Money

Prof. Ben Geva (Osgoode Hall, York University): Digital Currencies

Dr. Seema Sharma (SMU): India’s Demonetization Experience

3:15 pm-3:45 pm Break
Closing discussion session:

3:45 pm - 4:00 pm  Prof. Rodrigo Dardeau Vieira (Procuradoria-Geral da Fazenda Nacional, Brazil): Difficulties of Brazil's Economic Development in a Globalized World

4:00 pm - 4:30 pm  Trump, Brexit and the Future of Financial Regulation

Discussion leader: Robert Rendell (SMU)

7:30 pm - 9:30 pm  Prof. Joseph and Mrs. Colleen Norton will host an appreciation dinner for attendees at the Petroleum Club, Texas Commerce Tower, 2200 Ross Avenue (downtown Dallas). Prof. Norton thanks his former law partner and lifelong friend, Ms. Harriet Miers, for use of her Club membership.

Papers: The papers of the various presenters and of other attendees will be posted for review on the Colloquium’s website. The conference organizers will be arranging for publication of various of the papers in SMU’s three international journals: The International Lawyer, Law and Business Review of the Americas, and Science and Technology Journal, and possibly otherwise.