Colloquium (Part II) on

“Innovations in Financial Law and Systems”:

A tribute to Professor Joseph J. Norton

May 5, 2017, SMU Dedman School of Law, Dallas, Texas

SMU Dedman School of Law (and its International Graduate Program and its Tsai Center for Law, Science and Innovation), in conjunction with the Centre for Commercial Law Studies, Queen Mary, University of London and the Asian Institute of International Financial Law, University of Hong Kong are proud to hold Part II of a conference addressing the evolution and changing environment for both transactional and regulatory aspects of financial law and honoring Professor Joseph J. Norton. The Part I conference was held in London on May 5-6, 2016, at which fifty former graduate students and colleagues of Professor Norton attended.

This Colloquium (Part II) (invitation only) will bring together approximately 40 former students and colleagues of Professor Norton, drawn from at least 14 different countries/jurisdictions. Numerous of the attendees will present summaries of their most recent research. Topics will include: Brexit and its implications for financial regulation, opportunities and challenges of technological innovation (‘FinTech’ and ‘RegTech’) for financial services, and the outlook for international financial regulation under the new U.S. administration.

Venue: Karcher Auditorium, SMU Dedman School of Law, 3315 Daniel Avenue, Dallas, Texas 75275.

Organizing Committee: Professor Marc I. Steinberg (SMU), Professor Douglas Arner (AIIFL/HKU), Prof. Rodrigo Olivares-Carminal (CCLS/QMUL), Prof.essor Ross Buckley (UNSW), Professor Rosa M. Lastra (CCLS/QMUL), Professor Emilios Avgouleas (Edinburgh), Dr. Heba Shams (World Bank), Professor Mads Andenas (Oslo) and Dr. Seema Sharma (SMU), with the administrative assistance of Rebekah Bell, Lynn Dempsey and Sharon Magill-Tabbert.

Schedule:

Thursday Evening, May 4 (7:00 p.m. - 9:30 p.m.): Informal Tex-Mex Dinner, hosted by Professor Marc Steinberg (SMU) at his home.

Friday, May 5

8:30 a.m.-9:15 a.m. Registration, coffee/ juice/ muffins

9:15 a.m.-9:30 a.m. Opening remarks: Professor Marc I. Steinberg (SMU)

9:30 a.m.-10:45 a.m. Panel I: Financial regulation and financial crises
Three 15 minute summary paper presentations with discussion

   Chair: Professor Rodrigo Olivares-Carminal (CCLS / QMUL)
Professor Christos Hadjiemmanuil (University of Piraeus / London School of Economics): Current trans-Atlantic regulatory debates and the risk that these may cause the Basel regime to unravel

Professor Jens Binder (Tubingen University): Financial market infrastructure and systemic relevance

Professor Douglas W. Arner (AIIFL / HKU) and Professor Emilios Avgouleas (University of Edinburgh): How to bail-out your banking system: Lessons from two decades of banking crises

10:45 a.m.-11:15 a.m. Break

11:15 a.m.-12:30 p.m. **Panel II: Technology and the future of finance and its regulation**
Three 15 minute summary paper presentations with discussion

Chair: Professor Peter Winship (SMU)

Professor George Walker (CCLS / QMUL): FinTech

Professor Ross P. Buckley (UNSW Australia): TechFin

Dr. Christopher P. Malcolm (University of the West Indies): De-Risking to a Fault: After Oblivion then what?

12:30 p.m.-2:00 p.m. Texas BBQ Buffet for guests at Karcher Auditorium

2:00 p.m.-3:15 p.m. **Panel III: The Changing Nature of Money**
Three 15 minute summary presentations with discussion

Chair: Professor Cynthia Lichtenstein (Boston College)

Dr. Gerald N. Olsen (SMU): Morality, Banking and the Law of Money

Professor Ben Geva (Osgoode Hall, York University): Digital Currencies

Dr. Seema Sharma (SMU): India’s Demonetization Experience

3:15 p.m.-3:45 p.m. Break

3:45 p.m.-4:30 p.m. **Closing discussion session: Trump, Brexit and the Future of Financial Regulation**

Discussion leader: Robert Rendell (SMU)
7:30 p.m.-9:30 p.m. Professor Joseph and Mrs. Colleen Norton will host an appreciation dinner for attendees at the Petroleum Club, Texas Commerce Tower, 2200 Ross Avenue (downtown Dallas). Professor Norton thanks his former law partner and lifelong friend, Ms. Harriet Miers, for use of her Club membership.

Papers: The papers of the various presenters and of other attendees will be posted for review on the Colloquium’s website. The conference organizers will be arranging for publication of various of the papers in SMU’s three international journals: The International Lawyer, Law and Business Review of the Americas, and Science and Technology Journal, and possibly otherwise.